

**Extracts from United Nations Security Council Resolutions (UNSCR)
2270, 2321, 2371, 2375 and 2397**

1. UNSCR 2270 (2016)

Paragraph 19

Decides that Member States shall prohibit their nationals and those in their territories from **leasing or chartering their flagged vessels or aircraft or providing crew services to the DPRK**, and *decides* that this prohibition shall also apply with respect to any designated individuals or entities, any other DPRK entities, any other individuals or entities whom the State determines to have assisted in the evasion of sanctions or in violating the provisions of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution, any individuals or entities acting on behalf or at the direction of any of the aforementioned, and any entities owned or controlled by any of the aforementioned...;

Paragraph 20

Decides that all States shall prohibit their nationals, persons subject to their jurisdiction and entities incorporated in their territory or subject to their jurisdiction from **registering vessels in the DPRK, obtaining authorization for a vessel to use the DPRK flag, and from owning, leasing, operating, providing any vessel classification, certification or associated service, or insuring any vessel flagged by the DPRK...**;

Paragraph 22

Decides that all Member States shall **prohibit the entry into their ports** of any vessel if the Member State has information that provides reasonable grounds to believe the **vessel is owned or controlled, directly or indirectly, by a designated individual or entity, or contains cargo the supply, sale, transfer or export of which is prohibited** by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013) or this resolution, unless entry is required in the case of emergency or in the case of return to its port of origination, or for inspection, or unless the Committee determines in advance that such entry is required for humanitarian purposes or any other purposes consistent with the objectives of this resolution;

Paragraph 23

Recalls that the Committee has designated the DPRK firm Ocean Maritime Management (OMM), *notes* that the **vessels** specified in Annex III of this resolution are economic resources **controlled or operated by OMM** and therefore **subject to the asset freeze** imposed in paragraph 8 (d) of resolution 1718 (2006), and *underscores* that Member States are required to implement the

relevant provisions of that resolution;

Paragraph 30

Decides that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, **gold, titanium ore, vanadium ore, and rare earth minerals**, and that all States shall prohibit **the procurement** of such material from the DPRK by their nationals, or **using their flag vessels** or aircraft, and whether or not originating in the territory of the DPRK;

Paragraph 31

Decides that all States shall prevent the **sale or supply**, by their nationals or from their territories or **using their flag vessels** or aircraft, of **aviation fuel, including aviation gasoline, naptha-type jet fuel, kerosene-type jet fuel, and kerosene-type rocket fuel**, whether or not originating in their territory, to the territory of the DPRK, or unless the Committee has approved in advance on an exceptional case-by-case basis the transfer to the DPRK of such products for verified essential humanitarian needs, subject to specified arrangements for effective monitoring of delivery and use, and decides also that this provision shall not apply with respect to the sale or supply of aviation fuel to civilian passenger aircraft outside the DPRK exclusively for consumption during its flight to the DPRK and its return flight;

2. UNSCR 2321 (2016)

Paragraph 8

Decides that paragraph 19 of resolution 2270 (2016) shall apply with respect to all **leasing, chartering or provision of crew services to the DPRK** without exception, unless the Committee approves on a case-by-case basis in advance;

Paragraph 9

Decides that paragraph 20 of resolution 2270 (2016) shall apply to **registering vessels in the DPRK, obtaining authorization for a vessel to use the DPRK flag, and owning, leasing, operating, providing any vessel classification, certification or associated service, or insuring any vessel flagged by the DPRK**, without exception, unless the Committee approves on a case-by-case basis in advance;

Paragraph 12

Decides that the Committee, if it has information that provides reasonable grounds to believe the **vessels are or have been related to nuclear- or ballistic missile-related programmes or activities prohibited** by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016) or this resolution, may require any or all of the following measures with respect to vessels it

designates pursuant to this paragraph: (a) the Flag State of a designated vessel shall de-flag the vessel; (b) the Flag State of a designated vessel shall direct the vessel to a port identified by the Committee, in coordination with the port State; (c) all Member States shall prohibit a designated vessel from entering their ports, unless in case of emergency, in case of return to the vessel's port of origination, or in case of direction by the Committee; (d) a vessel designated by the Committee shall be subject to the asset freeze imposed in paragraph 8 (d) of resolution 1718 (2006);

Paragraph 22

Decides that all Member States shall prohibit their nationals, persons subject to their jurisdiction and entities incorporated in their territory or subject to their jurisdiction from **providing insurance or re-insurance services to vessels owned, controlled, or operated, including through illicit means, by the DPRK** unless the Committee determines on a case-by-case basis that the vessel is engaged in activities exclusively for livelihood purposes which will not be used by DPRK individuals or entities to generate revenue or exclusively for humanitarian purposes;

Paragraph 23

Decides that all Member States shall prohibit their nationals from **procuring vessel and aircraft crewing services from the DPRK**;

Paragraph 24

Decides that all Member States shall **de-register any vessel that is owned, controlled, or operated by the DPRK**, and further *decides* that Member States shall not register any such vessel that has been de-registered by another Member State pursuant to this paragraph;

Paragraph 28

Decides that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, **copper, nickel, silver and zinc**, and that all Member States shall prohibit the **procurement of** such material from the DPRK by their nationals, or **using their flag vessels** or aircraft, and whether or not originating in the territory of the DPRK;

Paragraph 29

Decides that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, **statues**, and that all States shall prohibit the **procurement of** such items from the DPRK by their nationals, or **using their flag vessels** or aircraft, whether or not originating in the territory of the DPRK, unless the Committee approves on a case-by-case basis in advance;

Paragraph 30

Decides that all Member States shall prevent the direct or indirect supply, sale or **transfer** to the DPRK, through their territories or by their nationals, or **using their flag vessels** or aircraft, and whether or not originating in their territories, of **new helicopters and vessels**, except as approved in advance by the Committee on a case-by-case basis;

3. UNSCR 2371 (2017)

Paragraph 6

Decides that the Committee may **designate vessels** for which it has information indicating they are, or have been, **related to activities prohibited** by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution and all Member States shall **prohibit the entry into their ports** of such designated vessels, unless entry is required in the case of emergency or in the case of return to its port of origination, or unless the Committee determines in advance that such entry is required for humanitarian purposes or any other purposes consistent with the objectives of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution;

Paragraph 7

Clarifies that the measures set forth in paragraph 20 of resolution 2270 (2016) and paragraph 9 of resolution 2321 (2016), requiring States to prohibit their nationals, persons subject to their jurisdiction and entities incorporated in their territory or subject to their jurisdiction from owning, leasing, operating any vessel flagged by the DPRK, without exception, unless the Committee approves on a case-by-case basis in advance, apply to **chartering vessels flagged by the DPRK**;

Paragraph 8

Decides that paragraph 26 of resolution 2321 (2016) shall be replaced by the following:

“*Decides* that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, **coal, iron, and iron ore**, and that all States shall prohibit the **procurement** of such material from the DPRK by their nationals, or **using their flag vessels** or aircraft, and whether or not originating in the territory of the DPRK, *decides* that for sales and transactions of iron and iron ore for which written contracts have been finalized prior to the adoption of this resolution, all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of this resolution with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of this resolution, and decides further that this provision shall not apply with respect to coal that the

exporting State confirms on the basis of credible information has originated outside the DPRK and was transported through the DPRK solely for export from the Port of Rajin (Rason), provided that the exporting State notifies the Committee in advance and such transactions involving coal originating outside of the DPRK are unrelated to generating revenue for the DPRK's nuclear or ballistic missile programs or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), or this resolution;"

Paragraph 9

Decides that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, **seafood (including fish, crustaceans, mollusks, and other aquatic invertebrates in all forms)**, and that all States shall prohibit the **procurement** of such items from the DPRK by their nationals, or **using their flag vessels** or aircraft, whether or not originating in the territory of the DPRK...;

Paragraph 10

Decides that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, **lead and lead ore**, and that all States shall prohibit the **procurement** of such items from the DPRK by their nationals, or **using their flag vessels** or aircraft, whether or not originating in the territory of the DPRK...;

4. UNSCR 2375 (2017)

Paragraph 6

Decides to apply the measures imposed by paragraph 6 of resolution 2371 (2016) on **vessels transporting prohibited items from the DPRK**, *directs* the Committee to designate these vessels and to report to the Security Council within fifteen days of adoption of this resolution, *further decides* that, if the Committee has not acted, then the Security Council will complete action to adjust the measures within seven days of receiving that report, and *directs* the Committee to regularly update this list when it is informed of additional violations;

Paragraph 11

Decides that all Member States shall prohibit their nationals, persons subject to their jurisdiction, entities incorporated in their territory or subject to their jurisdiction, and vessels flying their flag, from facilitating or engaging in **ship-to-ship transfers to or from DPRK-flagged vessels of any goods or items that are being supplied, sold, or transferred to or from the DPRK**;

Paragraph 13

Decides that all Member States shall prohibit the direct or indirect supply, sale or **transfer** to the DPRK, through their territories or by their nationals, or **using**

their flag vessels or aircraft, and whether or not originating in their territories, of **all condensates and natural gas liquids**, and decides that the DPRK shall not procure such materials;

5. UNSCR 2397 (2017)

Paragraph 4

Decides that all Member States shall prohibit the direct or indirect supply, sale or **transfer** to the DPRK, through their territories or by their nationals, or **using their flag vessels**, aircraft, pipelines, rail lines, or vehicles and whether or not originating in their territories, of **all crude oil**, unless the Committee approves in advance on a case-by-case basis a shipment of crude oil which is exclusively for livelihood purposes of DPRK nationals and unrelated to the DPRK's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) or this resolution, further *decides* that this prohibition shall not apply with respect to crude oil that, for a period of twelve months after the date of adoption of this resolution, and for twelve months periods thereafter, does not exceed 4 million barrels or 525,000 tons in the aggregate per twelve month period, and *decides* that all Member States providing crude oil shall provide a report to the Committee every 90 days from the date of adoption of this resolution of the amount of crude oil provided to the DPRK;

Paragraph 5

Decides that all Member States shall prohibit the direct or indirect supply, sale or **transfer** to the DPRK, through their territories or by their nationals, or **using their flag vessels**, aircraft, pipelines, rail lines, or vehicles, and whether or not originating in their territories, of **all refined petroleum products**...;

Paragraph 6

Decides that the DPRK shall not supply, sell or transfer, directly or indirectly, from its territory or by its nationals or using its flag vessels or aircraft, **food and agricultural products (HS codes 12, 08, 07), machinery (HS code 84), electrical equipment (HS code 85), earth and stone including magnesite and magnesia (HS code 25), wood (HS code 44), and vessels (HS code 89)**, and that all States shall prohibit the **procurement** of the above-mentioned commodities and products from the DPRK by their nationals, or **using their flag vessels** or aircraft, whether or not originating in the territory of the DPRK, *clarifies* that the full sectoral ban on seafood in paragraph 9 of resolution 2371 (2017) prohibits the DPRK from selling or transferring, directly or indirectly, fishing rights, and *further decides* that for sales of and transactions involving all commodities and products from the DPRK whose transfer, supply, or sale by the DPRK are prohibited by this paragraph and for which written contracts have been finalized prior to the adoption of this resolution, all States may only allow those shipments to be imported into their territories up to 30 days from the date of adoption of this resolution with notification provided to the Committee

containing details on those imports by no later than 45 days after the date of adoption of this resolution;

Paragraph 7

Decides that all Member States shall prohibit the direct or indirect supply, sale or **transfer** to the DPRK, through their territories or by their nationals, or **using their flag vessels**, aircraft, pipelines, rail lines, or vehicles and whether or not originating in their territories, of **all industrial machinery (HS codes 84 and 85), transportation vehicles (HS codes 86 through 89), and iron, steel, and other metals (HS codes 72 through 83)** and *further decides* that this provision shall not apply with respect to the provision of spare parts needed to maintain the safe operation of DPRK commercial civilian passenger aircraft (currently consisting of the following aircraft models and types: An-24R/RV, An-148-100B, Il-18D, Il-62M, Tu-134B-3, Tu-154B, Tu-204-100B, and Tu-204-300);

Paragraph 9

Notes with great concern that the DPRK is illicitly exporting coal and other prohibited items through deceptive maritime practices and obtaining petroleum illegally through ship-to-ship transfers and *decides* that Member States shall **seize, inspect, and freeze (impound)** any vessel in their ports, and may seize, inspect, and freeze (impound) any vessel subject to its jurisdiction in its territorial waters, if the Member State has reasonable grounds to believe that the **vessel was involved in activities, or the transport of items, prohibited** by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution, *encourages* Member States to consult with the flag States of relevant vessels once they are seized, inspected, and frozen (impounded), and *further decides* that, after six months from the date such vessels were frozen (impounded), this provision shall not apply if the Committee decides, on a case-by-case basis and upon request of a flag State, that adequate arrangements have been made to prevent the vessel from contributing to future violations of these resolutions;

Paragraph 11

Reaffirms paragraph 22 of resolution 2321 (2016) and *decides* that each Member State shall prohibit its nationals, persons subject to its jurisdiction and entities incorporated in its territory or subject to its jurisdiction from **providing insurance or re-insurance services to vessels** it has reasonable grounds to believe were **involved in activities, or the transport of items, prohibited** by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution, unless the Committee determines on a case-by-case basis that the vessel is engaged in activities exclusively for livelihood purposes which will not be used by DPRK individuals or entities to generate revenue or exclusively for humanitarian purposes;

Paragraph 12

Reaffirms paragraph 24 of resolution 2321 (2016) and *decides* that each Member State shall **de-register any vessel it has reasonable grounds to believe was involved in activities, or the transport of items, prohibited** by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017), or this resolution and prohibit its nationals, persons subject to its jurisdiction and entities incorporated in its territory or subject to its jurisdiction from thereafter providing classification services to such a vessel except as approved in advance by the Committee on a case-by-case basis, and *further decides* that Member States shall not register any such vessel that has been de-registered by another Member State pursuant to this paragraph except as approved in advance by the Committee on a case-by-case basis;

Paragraph 14

Recalls paragraph 30 of resolution 2321 (2016) and *decides* that all Member States shall prevent the direct or indirect supply, sale or **transfer** to the DPRK, through their territories or by their nationals, or **using their flag vessels** or aircraft, and whether or not originating in their territories, of **any new or used vessels**, except as approved in advance by the Committee on a case-by-case basis;